



PRIVACY POLICY

At Ullmann Wealth Partners, we are committed to protecting your personal financial information. We believe the confidentiality and protection of client information is one of our fundamental responsibilities. And while information is critical to providing quality service, we recognize that one of our most important assets is our clients' trust. Thus, the safe keeping of client information is a priority.

Information We Collect. In connection with providing you with investment products, financial advice, or other services, we obtain nonpublic personal information about you including:

- Information we receive from you on applications and forms.
- Information about your transactions with us or others.
- Information from our financial planning discussions with you or other professionals (CPA, Attorney, Banker, Trust Officer, etc.) with whom you work.

Information We Disclose. We will not disclose information regarding you or your account with us except under the following circumstances:

- For our everyday business purposes, such as to process transactions for your account and maintain your accounts.
- To government entities or other third parties in response to subpoenas or other legal process as required by law.
- To a broker-dealer or insurance company or agency where you maintain an account or insurance product, but only in an effort to service your account or product.

Our Security Policy. Only those individuals who require it to perform their jobs are authorized to have access to confidential client information. We maintain physical, electronic, and procedural security measures that comply with applicable state and federal regulations to safeguard confidential client information.

Closed or Inactive Accounts. If you decide to close your account(s) or become an inactive client, we will adhere to the privacy policies and practices as described in this notice.

Limiting Sharing. Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes.
- Affiliates from using your information to market to you.
- Sharing for non-affiliates to market to you.

State laws and individual companies may give you additional rights to limit sharing. We do not share your information with any of these parties, so you are unable to limit our sharing.